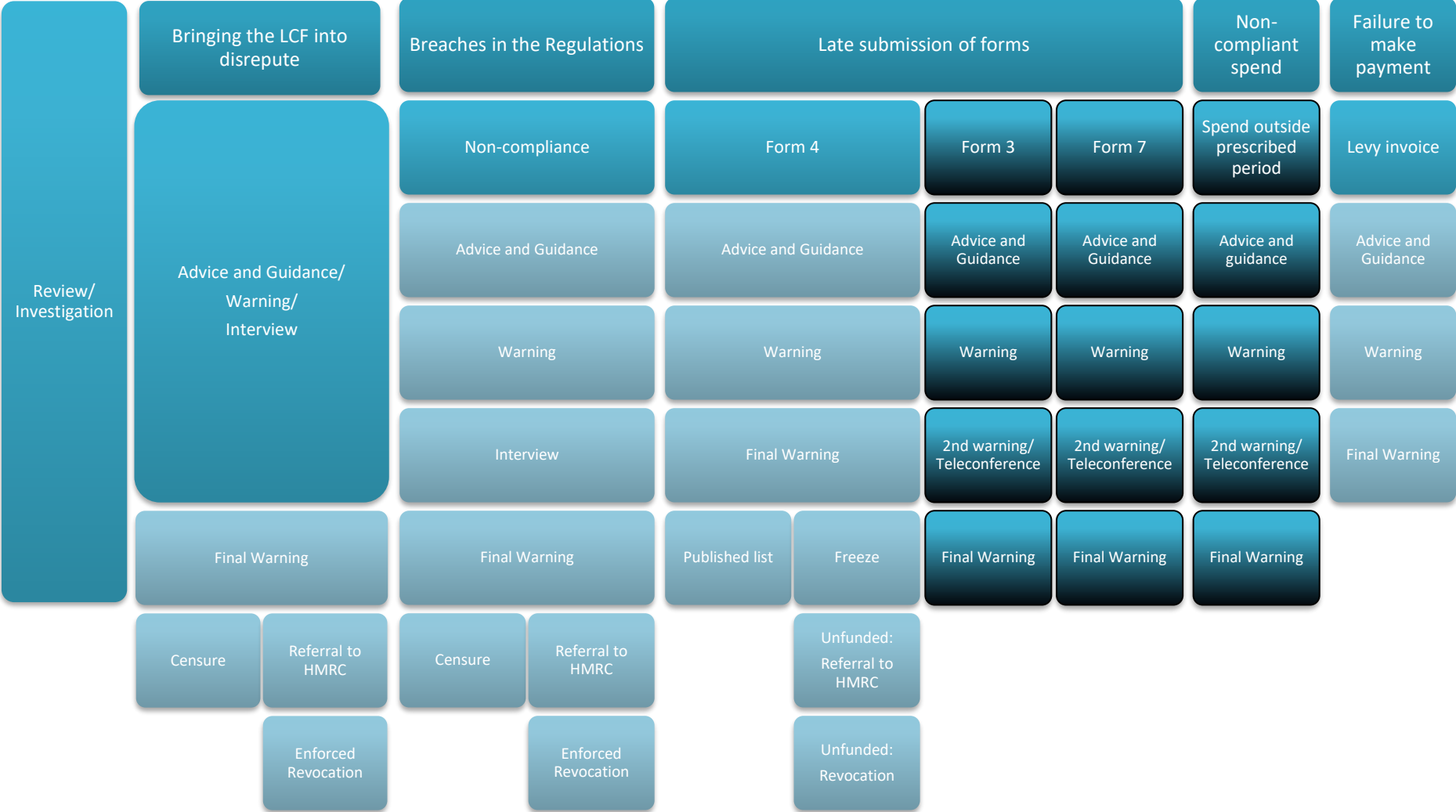


# BREACH MANAGEMENT FRAMEWORK



**Note: Late submission of Form 3s and Form 7s and Spend Outside the Prescribed Period are considered together when determining which stage of the framework a breach should be addressed under.**