



EB Risk Model Indicators

Risk Model Indicators	Risk Definition	Interval	Score	Weighting	Max Value
Non-reconciled Annual Returns	Number of Annual Returns at 'Non - Reconciled' status on EOL for previous 6-years	0	0	10	200
		1	1	10	
		2	5	10	
		3	10	10	
		4+	20	10	
Non-compliant recommendations	Number of Non-Compliant recommendations raised at previous Compliance review	0	0	12	600
		1	1	12	
		2	5	12	
		3	10	12	
		4	20	12	
Lateness of Annual Returns	Number of Annual Returns submitted after 28 April during previous 6-years	0	0	4	400
		1	10	4	
		2	30	4	
		3	50	4	
		4+	100	4	
Lateness of reporting contributions	Number of Form 3's submitted over the 7-day requirement during previous 12 months	0	0	4	400
		1	10	4	
		2	30	4	
		3	50	4	
		4+	100	4	
Assets Purchased	Value spent on assets within previous 12 months	£0	0	10	200
		£1 to £10k	1	10	
		£10k to £100k	3	10	
		£100k to £200k	7	10	
		£200k to £300k	10	10	
		£300k+	20	10	

Risk Model Indicators	Risk Definition	Interval	Score	Weighting	Max Value
Lateness of reporting EB transfers	Number of Form 7's submitted over the 7-day requirement during previous 12 months	0	0	3	300
		1	10	3	
		2	30	3	
		3	50	3	
		4+	100	3	
Administration costs	Value of EB administration costs reported on previous Annual Return	£0 to £500	0	10	200
		£501 to £5,000	1	10	
		£5,001 to £10,000	2	10	
		£10,001 to £20,000	3	10	
		£20,001 to £50,000	5	10	
		£50,001 to £100,000	10	10	
		£100,001 to £500,000	15	10	
>£500,001	20	10			
Total funding received via contributions	Value of contributions received during previous 6-years	<£1,000	0	10	500
		£1,000 to £50,000	2	10	
		£50,000 to £100,000	5	10	
		£100,000 to £500,000	7	10	
		£500,000 to £1m	10	10	
		£1m to £5m	20	10	
		£5m to £10m	30	10	
		£10m to £20m	40	10	
		£20m+	50	10	
Total funding received via EB transfers	Value of transfers received during previous 6-years	<£1,000	0	9	450
		£1,000 to £50,000	2	9	
		£50,000 to £100,000	5	9	
		£100,000 to £250,000	7	9	
		£250,000 to £500,000	10	9	
		£500,000 to £1m	20	9	
		£1m to £3m	30	9	
		£3m to £5m	40	9	
£5m+	50	9			

Risk Model Indicators	Risk Definition	Interval	Score	Weighting	Max Value
Committed Funds	Value of funds committed to projects approved by ENTRUST on previous Annual Return	<1,000	0	5	50
		1,001 to 25,000	1	5	
		25,001 to 50,000	2	5	
		50,001 to 100,000	3	5	
		100,001 to 150,000	7	5	
		>150,000	10	5	
Un-committed Funds	Value of funds not committed to projects on previous Annual Return	0	0	10	500
		£1 to £25,000	1	10	
		£25,000 to £50,000	3	10	
		£50,000 to £100,000	7	10	
		£100,000 to £250,000	10	10	
		£250,000 to £500,000	20	10	
		£500,000 to £1m	30	10	
		£1m to £3m	40	10	
£3m+	50	10			
Completed projects	Number of projects completed within the previous 6-years	0	0	5	100
		1 - 5	20	5	
		6 - 20	10	5	
		21 - 100	7	5	
		101 - 300	5	5	
		301 +	1	5	
Value of completed projects	Total value of LCF expenditure on projects completed within the previous 6-years	0	0	6	120
		£1 - £10,000	1	6	
		£10,001 - £50,000	10	6	
		£50,001 - £100,000	20	6	
		£100,001 - £500,000	7	6	
		£500,001+	5	6	

Risk Model Indicators	Risk Definition	Interval	Score	Weighting	Max Value
Total LCF transfers to other EBs	Value of transfers to other EBs during previous 6-years	£0 to £99	0	8	80
		£100 to £9,999	1	8	
		£10,000 to £49,999	2	8	
		£50,000 to £99,999	3	8	
		£100,000 to £499,999	5	8	
		£500,000 to £1m	6	8	
		£1,000,001 to £5m	8	8	
		£5m +	10	8	
Number of project extensions	Number of extensions granted on projects within the previous 6-years	0	0	6	120
		1	1	6	
		2	2	6	
		3 to 5	4	6	
		6 to 10	6	6	
		11 to 25	8	6	
		26 to 50	10	6	
		51 to 100	15	6	
		>100	20	6	
High-risk projects	Number of projects flagged as high-risk at Registration	0	0	5	500
		1 or more	100	5	

Project Risk Model Indicators

Risk Indicator	Risk Definition	Interval	Score	Weighting	Max Value	Min Value
LCF expenditure	Actual value of total LCF expenditure reported on Annual Returns – Breakdown by project	£1 to £10,000	1	10	100	10
		£10,001 to £20,000	2			
		£20,001 to £50,000	4			
		£50,001 to £100,000	6			
		£100,001 to £500,000	8			
		>£500,001	10			
High Risk project flag	Project flagged as high risk at project approval/registration	No	0	8	8	0
		Yes	1			
Allocated to another EB	Project flagged as allocated to another EB	No	0	6	6	0
		Yes	1			
SOPP	Actual start and end dates reported on Annual Return are outside of the approved dates	No	0	8	8	0
		Yes	1			
Assets	Any items on project cost breakdown flagged as an asset	No	0	8	8	0
		Yes	1			
Project extensions	Number of extensions requested for a project	0	0	7	70	0
		1	1			
		2	3			
		3	5			
		>3	10			

Risk Indicator	Risk Definition	Interval	Score	Weighting	Max Value	Min Value
Object	Object category of works to be carried out	A	10	2	20	4
		B	10			
		D	2			
		DA	4			
		E	6			
Single project	First project to be delivered by an EB	No	0	5	5	0
		Yes	1			
Aim of Project	Type of public amenity	Other	10	5	50	5
		Activity Centre	8			
		Community Hall/Centre	8			
		Sporting facility	8			
		Zoos and Public Farms	8			
		Building or structure of historical or architectural interest (Object E)	7			
		Nature Reserve	6			
		Church/Place of Worship (Object D)	5			
		Church/Place of Worship (Object E)	5			
		Canal Works/Waterways	5			
		Public Woodland	3			
		Library	2			
		Museum	2			
		Bridleway/Public footpath	1			
		Park	1			
		Cycle path	1			
Public Playground	1					
Village Green	1					